

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(B)

03942 U.S. PTO  
10/642898  
08/18/03

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Attorney Docket No.: 29757/P-800

Sir:

Enclosed herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application of:

Inventors: Binh T. Nguyen and Craig A. Paulsen

Title: TOURNAMENT GAMING METHOD AND SYSTEM

**1. Type of Application**

☒ This is an application for a:

- ☒ utility patent  
☐ design patent

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CERTIFICATION UNDER 37 C.F.R. §1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed herewith are being deposited with the United States Postal Service on **August 18, 2003**, in an envelope addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV 323774850US.

  
Richard Zimmerman

**2. Application Papers Enclosed**

- 1 Title page  
71 Pages of specification (excluding claims, abstract, drawings & sequence listing)  
16 Pages of claims  
1 Abstract page  
35 Sheets of drawings (Figs. 1 to 33E)  
☒ Formal  
☐ Informal

**3. Declaration or Oath**

- ☒ Enclosed  
☒ Executed by inventors  
☐ Executed by legal representative of inventor(s) (37 C.F.R. §1.42 or §1.43)  
  
☐ Not enclosed

**4. Incorporation By Reference**

If the present application is a continuation, continuation-in-part or divisional application as indicated in Paragraph 1 above, the prior application identified in Paragraph 1 above is hereby incorporated by reference in its entirety in the present application.

**5. Priority Under 35 U.S.C. §119**

The present application claims priority under 35 U.S.C. §119 from each application listed below, and a certified copy of each application: ☐ is enclosed, ☐ will be filed later, ☐ was filed in a prior application from which the present application claims priority under 35 U.S.C. §120.

COUNTRY	APPLICATION NO.	FILED

**6. Additional Papers Enclosed**

- ☐ Computer-readable copy of sequence listing containing nucleotide and/or amino acid sequence
- ☐ Declaration of biological deposit
- ☐ Microfiche computer program
- ☐ Request not to publish and certification under 35 U.S.C. §122(b)(2)(B)(i)
- ☐ Information disclosure statement
- ☐ Assignment
- ☒ Return receipt postcard
- ☒ Other: Application Data Sheet

**7. Small Entity Status**

- ☐ Small entity status is claimed.

**8. Fee Calculation**

**A. ☒ Utility Application**

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$375		\$750
TOTAL	51 -20	= 31	x 9 =		x 18 =	\$558
INDEP.	7 - 3	= 4	x 42 =		x 84 =	\$336
First Presentation of Multiple Dependent Claim			+ 140 =		+ 280 =	
Filing Fee:					<b>OR</b>	\$1,644

**B. ☐ Design Application Filing Fee** \$ \_\_\_\_\_

**C. ☐ Other Fees**  
Assignment recordation fee \$ \_\_\_\_\_  
Other: \_\_\_\_\_ \$ \_\_\_\_\_

Total Fees: \$1,644.00

**9. Method of Payment of Fees**

- ☒ Enclosed is a check in the amount of: \$ 1,644.00
- ☐ Charge Deposit Account No. 13-2855 in the amount of: \$ \_\_\_\_\_  
A copy of this transmittal is enclosed.

**10. Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 C.F.R. §1.16 or 37 C.F.R. §1.17 to Deposit Account No. 13-2855. A copy of this transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

**11. Correspondence Address**

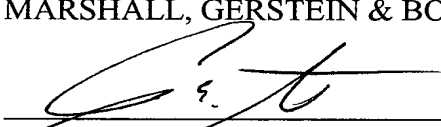
Customer No.: 04743

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN

Date: August 18, 2003

By:

  
\_\_\_\_\_  
Gregory E. Stanton  
Registration No. 45,127  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6357  
(312) 474-6300

16086 U.S. PTO  
08/18/03

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2003</h3> <p style="font-size: small; margin: 0;">Effective 01/01/2003, Patent fees are subject to annual revision.</p>		<b>Complete if Known</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	
		Not Yet Assigned	
		Filing Date	
		Herewith	
		First Named Inventor	
		Binh T. Nguyen	
Examiner Name		Not Yet Assigned	
Art Unit		N/A	
TOTAL AMOUNT OF PAYMENT		(\$)	
		1,644.00	
Attorney Docket No.		29757/P-800	

<b>METHOD OF PAYMENT</b> (check all that apply)				<b>FEE CALCULATION</b> (continued)																																																																																																																																																																																					
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account Deposit Account Number: <span style="border: 1px solid black; padding: 2px;">13-2855</span> Deposit Account Name: <span style="border: 1px solid black; padding: 2px;">MARSHALL, GERSTEIN &amp; BORUN LLP</span> The Director is hereby authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,450</td><td>2254</td><td>725</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>1453</td><td>1,300</td><td>2453</td><td>650</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,300</td><td>2501</td><td>650</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>470</td><td>2502</td><td>235</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>630</td><td>2503</td><td>315</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>750</td><td>2809</td><td>375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>750</td><td>2810</td><td>375</td><td>For each additional invention to be examined (37CFR 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>750</td><td>2801</td><td>375</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	410	2252	205	Extension for reply within second month		1253	930	2253	465	Extension for reply within third month		1254	1,450	2254	725	Extension for reply within fourth month		1255	1,970	2255	985	Extension for reply within fifth month		1401	320	2401	160	Notice of Appeal		1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,300	2453	650	Petition to revive - unintentional		1501	1,300	2501	650	Utility issue fee (or reissue)		1502	470	2502	235	Design issue fee		1503	630	2503	315	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))		1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))		1801	750	2801	375	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application	
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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Gregory E. Stanton	Registration No. (Attorney/Agent)	45,127
Signature		Telephone	(312) 474-6300
		Date	August 18, 2003

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 323774850US, in an envelope addressed to: MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.	
Dated: August 18, 2003	Signature:  (Richard Zimmermann)